

UTILITY

PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

5003.03

April 10, 2001

First Inventor or Application Identifier

Jason B. Elledge

Title

APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL
PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE
ASSEMBLIES AND METHODS FOR MAKING AND USING SAME

Express Mail Label No.

EL 647552127 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Commissioner of Patents
Washington, D.C. 202311. ☐ Fee Transmittal Sheet
(Submit an original and a duplicate for fee processing)2. ☐ Applicant(s) Claim(s) Small Entity Status3. ☒ Specification [Total Pages] **28**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

☒ Drawing(s) (35 USC 113) [Total Sheets] **9**☒ Oath or Declaration [Total Pages] **1**a. ☐ Newly executed (original or copy)b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet (37 C.F.R. 1.76)7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐ Computer-Readable Form (CRF)b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paperc. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)10. ☐ Assignment Papers (cover sheet & document(s))11. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)12. ☐ English Translation Document (if applicable)13. ☒ Preliminary Amendment Total Pages **2**14. ☒ Return Receipt Postcard15. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations16. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)17. ☒ Other: Certificate of Express Mail
Copy of Revocation and Subst. POA

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☒ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: 09/300,358 Filed April 26, 1999Prior application information: Examiner Willie Berry, Jr.Group / Art Unit 3723☒ Incorporation By Reference (useable if box 5b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

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PATENT

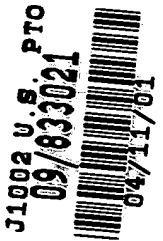
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jason B. Elledge

Attorney Docket No.: 500188.03

Filed : April 10, 2001

Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-
MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE
SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND USING
SAME



CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Box Patent Application
Commissioner of Patents
Washington, D.C. 20231

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL647552127US, on April 10, 2001, addressed to Box Patent Application, Commissioner of Patents, Washington, DC 20231.

Respectfully submitted,

DORSEY & WHITNEY LLP

/ for West Courier

MWR:sj

Enclosures:

Postcard
Form PTO/SB/05
Application Data Sheet
Preliminary Amendment
Copy of Specification, Claims, Abstract (28 pages)
Copy of 9 Sheets of Drawings (Figures 1-9)
Copy of Declaration
Copy of Election and Power of Attorney
Copy of Revocation and Substitute Power of Attorney
Information Disclosure Statement and Form PTO-1449